Upstate & the NIH
Public Access Policy

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**OVERVIEW**

**What Publications Are Subject to the Requirement?**

- The policy applies to any peer-reviewed publication that results from NIH funding, regardless of the amount of funding.
- It applies to papers accepted for publication on/after April 7, 2008 that are in part or a direct result of a grant, cooperative agreement or subcontract.

**Which Types of Publications Are Subject to the Requirement?**

- Final manuscript of any peer-reviewed journal articles, research reports, and reviews that result from NIH funding. **You are not required to submit works that are not peer reviewed, such as correspondence, book chapters, and editorials.**
- Effective May 25, 2008, anyone submitting an application, proposal or progress report to the NIH must (SHOULD HAVE) include the PMC or NIH Manuscript Submission reference number when citing applicable articles that arise from their NIH funded research.
- If a PMCID is not yet available, the NIH Manuscript Submission system reference number (NIHMS ID) must be included instead.
Policy Awareness

- NIH expects institutions will not send NIH applications, proposals and reports that are inconsistent with the terms and conditions of their NIH awards.

- How can the Office for Sponsored Programs help?
  - When grantees notify our office of their intent to submit an grant application to the NIH we will issue a reminder by emailing a copy of the Public Access Policy.
  - When we notify you of an upcoming Progress Report deadline, we will again issue you a reminder of the policy.
  - Public Access Policy will be posted to our website.
Consequences of non-compliance

*The NIH Public Access Policy is a legal requirement, as well as a term and condition of an award. Consequences for PDs/PIs and institutions if we do not maintain compliance:

– NIH will generally send an email to the PI with a cc: to the grants administrator allowing the investigator an opportunity to correct the deficiencies before taking enforcement action unless public health or welfare concerns require immediate action.

– NOTE: Even if an investigator is taking corrective action, NIH may take proactive action to protect the Federal government’s interests:

  • including placing special conditions on awards
  • precluding the grantee from obtaining future awards for a specified period
  • may take action designed to prevent future non compliance, such as closer monitoring.
  • delay or prevent awarding of funds.
Overview of the NIH Public Access Website

- Here are some key points everyone should know:
  - Homepage
  - Submission Methods
  - Information about Journals and the NIH Public Access Policy
Resources from the Library

- **REFERENCE STAFF**
- Literature Searching – including PMCID verification, saved search alert set up, $h$-index & cited reference searching
- Bibliographic Management (RefWorks)
- Identifying potential journals to publish in:
  - Publisher cooperation with the policy
  - ISI Impact Factors
- Access to PubMed Central
Locating PMCID$s

- The easiest method to locate a PMCID is by using PubMed’s **Single Citation Matcher**.
- If having trouble locating the PMCID for an article (or for verification purposes), please contact the Library’s Reference Department at phone 315-464-4581 or askalibrarian@upstate.edu.
In the Pipeline

- The Library is in the process of creating a Resource Guide to assist with the publishing aspects of NIH funded research.
- The Resource Guide will be similar to those at Thomas Jefferson University, George Washington University, and UC Davis.
- This Resource Guide will also contain key contact information specific to Upstate.
Upstate Support

The Library Staff has a collaborative effort with Sponsored Programs to assist all investigators in maintaining Public Access compliance. Please contact us to help you with whatever your information needs are.