Purpose
The College of Medicine is committed to creating and maintaining a learning environment that supports and encourages a culture of respect between teacher and learner. This policy outlines expectations for behavior that leads to an appropriate learning environment and mitigates the risk of student mistreatment. This policy also defines unprofessional behavior and student mistreatment; and it outlines reporting procedures for incidents of student mistreatment.

Policy
An appropriate student learning environment should foster professional growth, support academic achievement, and encourage the attainment of educational goals. Upstate's learning environment should model professionalism and civility and be characterized by professional behaviors. All members of the Upstate community, including faculty, staff and learners attest to the Upstate Pledge and should demonstrate the values prescribed therein, which include personal responsibility, respect, collegiality, integrity, trustworthiness, impartiality, confidentiality and compliance with laws and policies. Breaches in professional behavior threaten the learning environment and will not be tolerated. The Upstate Pledge can be found on the website at: http://www.upstate.edu/hr/document/upstate_pledge.pdf.

Mistreatment in the Learning Environment
The College of Medicine is committed to maintaining an environment where there is mutual respect between student, teacher and between peers. Examples of inappropriate behavior are:

1. Public embarrassment
2. Threats of physical harm or actual physical punishment
3. Requirements to perform personal services, such as shopping
4. Being subjected to unwanted sexual advances
5. Being asked for sexual favors in exchange for grades
6. Being denied opportunities for training because of gender, race/ethnicity or sexual orientation
7. Being subjected to offensive remarks/names directed at you based on gender, race/ethnicity or sexual orientation
8. Receiving lower grades or evaluation based on gender, race/ethnicity or sexual orientation
Procedures

1. Distribution of Policy to learners, house staff, nurses, faculty, and administration. This policy will be presented:
   a. To all students at the beginning of each Phase of their education and in each course and clerkship syllabus. Responsible: Associate Dean of Undergraduate Medical Education
   b. Annually to all interns during their orientation. Responsible: Associate Dean of Graduate Medical Education.
   c. Annually to all house-staff, nurses, faculty and administration in an annual email. Responsible: Dean.

2. Reporting Mistreatment Concerns: Students who experience possible mistreatment or observe other students experiencing possible mistreatment are encouraged to address the issue immediately. Students have the option of dealing with mistreatment informally or formally.
   a. Informal Resolution – Whenever possible, it is preferred that students who believe they have been mistreated or those who have witnessed others being mistreated, discuss and attempt to resolve the matter with the alleged offender. It is well recognized that this may not always be a comfortable or viable approach.
   b. Formal Resolution: Students formally can report inappropriate behavior in a number of ways and are encouraged to use the process that is most comfortable for their particular circumstance.
      i. Raise concerns personally to one of the following individuals:
         Associate Dean for Undergraduate Medical Education
         Dean of Student Affairs
         Course/Clerkship Director
         Department Chair
      ii. Submit a named or anonymous* report via the on-line Mistreatment Report. Once submitted, these reports are received by the Associate Dean of Undergraduate Medical Education. ([http://www.upstate.edu/currentstudents/support/rights/mistreatment.php](http://www.upstate.edu/currentstudents/support/rights/mistreatment.php))
         * Students requesting complete anonymity should be made aware that doing so may interfere with the College of Medicine’s ability to investigate the concern and their ability to receive information about the follow-up investigation.
      iii. Students may also choose to pursue claims of unlawful discrimination or harassment in compliance with the University’s Anti-Harassment/Discrimination Policy. More information can be found on the Office of Diversity and Inclusion website ([http://www.upstate.edu/diversityinclusion/complaint](http://www.upstate.edu/diversityinclusion/complaint)).

3. Responding to Concerns of Mistreatment
   a. Every effort is made to respond to concerns of mistreatment in a timely and professional manner to minimize the risk of harm including retaliation. The level of corrective response is in the hands of the student whose confidentiality at this first stage is nearly absolute until the student says otherwise; the listener’s role is only to help the student think through his/her concerns. If given permission from the student, the complaint will be fully investigated and measures will be taken to reach an appropriate resolution. Except in cases of an anonymous complaint, students will be provided with clear and timely feedback concerning the status and resolution of their complaint.
   b. The Associate Dean of Undergraduate Medical Education will provide a de-identified annual summary of reported mistreatment concerns to the Dean of the College of Medicine. The Dean will present this annual review for discussion and comment by the COM Department Chairs.
c. Aggregate and de-identified data on mistreatment reports will be presented to Curriculum Committee twice annually by the Associate Dean of Undergraduate Medical Education. If serious or repeated concerns are identified, the Associated Dean of Undergraduate Medical Education will bring reports to the Curriculum Committee as needed.

4. No Retaliation Policy: Upstate Medical University and College of Medicine policies strictly forbid discrimination or retaliation against any community member who reports, in good faith, any instances of conduct that do not comply or appear not to comply with Federal or State laws and regulations or local policies and procedures. Those reporting inappropriate behavior have the right to remain anonymous and to use confidential mechanisms to disclose non-compliant activity without fear of retaliation. Individuals who believe they are experiencing retaliation are strongly encouraged to contact the Associate Dean for Undergraduate Medical Education or the Dean of Student Affairs so that the situation can be addressed immediately.

NOTE: Within the constraints of the Crime Awareness and Campus Security Act. This is a federal law that requires school officials who have a significant responsibility for student and campus activities (except mental health and religious counselors) to report certain (mostly assault) crimes. The law does not require naming anyone involved, but only supplying enough information to Security to allow verification of the crime and its location at the University.

Please Contact the Course/Clerkship Director with any issues regarding Mistreatment and Learning Environment